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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

7/26/01  
US  
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07/24/01

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 35]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [ Total Sheets  ]
5. Oath or Declaration [ Total Pages 3 ]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

Attorney Docket No. 30-5004 DIV2

First Inventor Vladimir Segal

Title *See end of Application for Addendum to Application*

Express Mail Label No. EL844046665US

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of (when there is an assignee)  Attorney
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503) (2) (Should be specifically itemized)
15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)
16.  Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35, or its equivalent.
17.  Other: \$710.00 Check

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP)

of prior application No. 09/465,492

Prior application information: Examiner H. Wilkins III

Group Art Unit: 1742

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label 02157  
(Insert Customer No. or Attach bar code label here) or  Correspondence address below

Name

Address

City

Country

State

Zip Code

Fax

Name (Print/Type) David G. Latwesen, Ph.D.

Registration No. (Attorney/Agent) 38,533

Signature

Date

7/24/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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# FEE TRANSMITTAL

## for FY 2000

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$710.00)

		Complete if Known			
		Application Number	Priority: 09/465,492		
		Filing Date	Priority: December 16, 1999		
		First Named Inventor	Vladimir Segal		
		Examiner Name	Priority: H. Wilkins III		
		Group / Art Unit	Priority: 1742		
		Attorney Docket No.	30-5004 DIV2		

METHOD OF PAYMENT (check one)						FEE CALCULATION (continued)						
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:						3. ADDITIONAL FEES						
Deposit Account Number <b>23-0925</b>						Large Entity	Small Entity	Fee Description				Fee Paid
Deposit Account Name <b>Wells, St. John et al.</b>						Fee Code (\$)	Fee Code (\$)	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17						105	130	205	65	Surcharge - late filing fee or oath	0.00	
						127	50	227	25	Surcharge - late provisional filing fee or cover sheet	0.00	
						139	130	139	130	Non-English specification	0.00	
						147	2,520	147	2,520	For filing a request for reexamination	0.00	
						112	920*	112	920*	Requesting publication of SIR prior to Examiner action	0.00	
						113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	0.00	
						115	110	215	55	Extension for reply within first month	0.00	
						116	380	216	190	Extension for reply within second month	0.00	
						117	870	217	435	Extension for reply within third month	0.00	
						118	1,360	218	680	Extension for reply within fourth month	0.00	
						128	1,850	228	925	Extension for reply within fifth month	0.00	
						119	300	219	150	Notice of Appeal	0.00	
						120	300	220	150	Filing a brief in support of an appeal	0.00	
						121	260	221	130	Request for oral hearing	0.00	
						138	1,510	138	1,510	Petition to institute a public use proceeding	0.00	
						140	110	240	55	Petition to revive - unavoidable	0.00	
						141	1,210	241	605	Petition to revive - unintentional	0.00	
						142	1,210	242	605	Utility issue fee (or reissue)	0.00	
						143	430	243	215	Design issue fee	0.00	
						144	580	244	290	Plant issue fee	0.00	
						122	130	122	130	Petitions to the Commissioner	0.00	
						123	50	123	50	Petitions related to provisional applications	0.00	
						126	240	126	240	Submission of Information Disclosure Stmt	0.00	
						581	40	581	40	Recording each patent assignment per property (times number of properties)	0.00	
						146	690	246	345	Filing a submission after final rejection (37 CFR § 1.129(a))	0.00	
						149	690	249	345	For each additional invention to be examined (37 CFR § 1.129(b))	0.00	
Other fee (specify) _____						0.00						
Other fee (specify) _____						0.00						
						SUBTOTAL (3) (\$ 0.00)						
Reduced by Basic Filing Fee Paid						SUBTOTAL (3) (\$ 0.00)						

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	David G. Latwesen, Ph.D.	Registration No. (Attorney/Agent)	38,533	Telephone	509-624-4276
Signature				Date	7/24/01

## WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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## **Addendum**

1. Methods For Controlling The Texture Of Alloys Utilizing Equal Channel Angular Extrusion